

## UTILITY PATENT APPLICATION

## TRANSMITTAL

*((Only for new non-provisional applications under 37CFR 1.53 (b))*

Attorney Docket No. 23635-018137  
Total Pages 27  
First Named Inventor Guzorek, Steve  
Express Mail Label No. EL742237355US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Assistant Commissioner for Patents  
Box PATENT APPLICATION  
Washington, DC 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 15]  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to Microfiche Appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Pages 4]
4. ☒ Oath or Declaration [Total Pages 3]  
a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63 (d) (2) and 1.33 (b).
5. ☐ Incorporation by Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
if applicable, all necessary)

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73 (b) Statement (when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure (3 pp.) Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment (10 pp.)
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other Patent Cover Sheet and Cert. of Express Mail  
Request and Cert. under 35 USC 122(b)(2)(B)(i)

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:

## 18. CORRESPONDENCE ADDRESS

☐ Customer number or bar code label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

NAME

Patrick D. Richards

McDermott, Will &amp; Emery

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## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Box PATENT APPLICATION / Assistant Commissioner for Patents / Washington, D.C. 20231 on this date: July 3, 2001 (Express Mail Label No. Above)

Typed or printed name

Ann M. Galicia

Signature

Date

July 3, 2001

# FEE TRANSMITTAL

Complete if Known

**Note: Effective November 10, 1998**  
Patent fees are subject to annual revision

Appln. Number	unassigned
Filing Date	July 3, 2001
First Named Inventor	Guzorek
Title	UV Assembly with Switch
Group Art Unit	unassigned
Examiner Name	unassigned
Attorney Docket No.	23635-018137

**TOTAL AMOUNT OF PAYMENT \$710.00**

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account   
Deposit Account Name

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed:

☐ Check ☐ Money ☐ Other Order

## FEE CALCULATION

### 1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
<b>SUBTOTAL</b>					<b>710</b>

### 2. CLAIMS

	Highest No. Paid For	Extra	Fee from below	Fee Paid
Total Claims	20	-20 =	0	0
Independent Claims	2	-3 =	0	0
Multiple Dependent Claims				

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claims
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent
SUBTOTAL				0

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design Issue fee	
144	600	244	300	Plant Issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) 3 soft copies of patent (\$3 each)					
Other fee (specify)					
Reduced by Basic Filing Fee Paid					
<b>SUBTOTAL (3)</b>					

## SUBMITTED BY

Typed or Printed Name Patrick D. Richards

Signature

Patrick D. Richards

Date

July 03, 2001

Complete (if applicable)

Reg. Number

P48,905

Deposit Account

13-0206

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor		Guzorek, Steve
	Title	UV Assembly with Switch	
	Atty Docket Number		23635-018137

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

**July 03, 2001**

Date \_\_\_\_\_

Patrick D. Richard

**Signature**

**Patrick D. Richards**

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing.**

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

In re Appln. of Guzorek  
New Patent Application  
"UV Assembly With Switch"  
Docket No. 23635-018137

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" mailing label number EL742237355US

Date of Deposit: July 3, 2001

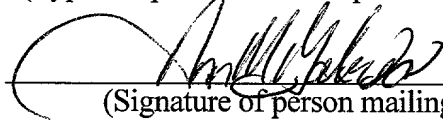
I hereby certify that the following documents:

1. Certificate of Express Mailing (1 pp.);
2. Fee Transmittal (1 pp. - in dup.);
3. Utility Patent Application Transmittal (1 pp.);
4. Patent Cover Sheet (1 pp.);
5. Specification (description, claims and abstract) (15 pp.)
6. Drawings (Figs. 1-4) (4 Sheets);
7. Combine Declaration and Power of Attorney (3 pp.);
8. Request and Certification under 35 U.S.C. 122(b)(2)(B)(i) (1 pp.) and
9. Return Postcard.

are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" Service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Box New Patent Application, Washington, D.C. 20231.

Ann M. Galicia

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)